



CLAIMING CROWN 2011

Summary of Conditions

Race Date: Saturday, December 3, 2011
Run-Up Dates:
 Friday, August 26 Regular (\$100) and Open (\$250) Nominations close
 Friday, October 21 (a) \$500 Nominations close, and (b) Nominator must identify horse made eligible through Open Nomination
 Tuesday, October 25 List of all nominated horses released
 Friday, November 18 Supplemental Nominations close (5% of purse)
 Monday, November 21 Pre-Entry; Declaration of Race
 Wednesday, November 23 Field Selection Notification
 Wednesday, November 30 Entries taken at Fair Grounds

Location: Fair Grounds, New Orleans, Louisiana

Racing Program: Claiming Crown 2011 will consist of six stakes races with starter allowance conditions and a total of \$500,000 in guaranteed purses.

Claiming Crown Race	Claiming Level	Age Sex	Distance	Surface	Purse
1- The Iron Horse	\$7,500	3&Up	8.5f	D	\$50,000
2- The Express	\$7,500	3&Up	6.0f	D	\$50,000
3- The Glass Slipper	\$16,000	3&Up f/m	6.0f	D	\$75,000
4- The Rapid Transit	\$16,000	3&Up	6.0f	D	\$75,000
5- The Emerald	\$25,000	3&Up	8.5f	T	\$100,000
6- The Jewel	\$35,000	3&Up	9.0f	D	\$150,000

· Eligibility Requirements ·

General Eligibility:

To be eligible to compete in any Claiming Crown event, a horse must have started for a claiming price since January 1, 2010, in a race restricted to Thoroughbreds and conducted at a recognized North American pari-mutuel racetrack. Only races conducted at recognized North American pari-mutuel racetracks and restricted to Thoroughbreds are considered for eligibility requirements, weight allowances and penalties, and Field Selection points.

To be eligible to compete in any Claiming Crown event, a horse must have a nomination on file with Claiming Crown, Ltd. before the close of nominations, at 12:00 midnight (EST) on Friday, November 18, 2011.

Eligibility for a Designated Race:

In addition to the General Eligibility requirements, to be eligible to compete in a specific designated Claiming Crown event a horse must have started once at the claiming level associated with that Claiming Crown event, or at a lower claiming level, since January 1, 2010.

· Nominations ·

Nominations will be accepted in three different formats:

1. Regular Nominations closing August 26 (\$100), or closing October 21 (\$500)
2. Open Nominations closing August 26 (\$250)
3. Supplemental Nominations closing November 18 (5% of purse)

1. Regular Nominations:

At the time of nomination, the nominating owner or his authorized agent must identify the nominated horse but need not identify the intended Claiming Crown race. Regular Nominations accompanied by a fee in accord with the following schedule will be accepted from the owner of record at the time of nomination, or his authorized agent:

Regular Nomination Schedule:

- (a) If received on or before 12:00 midnight EDT August 26, 2011: \$100.
- (b) If received on or before 12:00 midnight EDT October 21, 2011: \$500.

Regular Nomination is not specific to a single Claiming Crown event: a Regular Nomination serves to nominate a horse to all Claiming Crown 2011 events for which that horse may be eligible.

Regular Nomination fees are non-refundable.

2. Open Nominations:

At the time of nomination, the nominating owner or his authorized agent need not identify either a specific horse for nomination or the intended Claiming Crown race. The nominating owner or his authorized agent must identify the nominated horse no later than 12:00 midnight EDT on Friday, October 21, 2011; open nominations for which no horse has been identified by 12:00 midnight EDT on Friday, October 21, 2011 are invalid. Open nominations in accord with the following schedule will be accepted from the owner of record at the time of nomination, or his authorized agent:

Open Nomination Schedule:

- (a) If received on or before 12:00 midnight EDT August 26, 2011: \$250.
- (b) Nominated horse must be identified no later 12:00 midnight EDT, Friday, October 21, 2011, by mail, fax, or phone to Claiming Crown, Ltd.

Open Nomination fees are non-refundable.

3. Supplemental Nominations:

Supplemental Nominations accompanied by a fee equivalent to five percent (5.0%) of the guaranteed purse of the intended race will be accepted from the owner of record at the time of nomination, or his authorized agent, in accord with the following schedule. At the time of nomination, the nominating owner or his authorized agent must identify both the nominated horse and the intended Claiming Crown race. Horses made eligible to Claiming Crown 2011 by Supplemental Nomination are exempt from payment of Pre-Entry, Entry, and Starting fees.

Supplemental Nomination Schedule:

If received with payment on or before 12:00 midnight EST November 18, 2011: 5% of guaranteed purse.

Nominations received after the close of nominations (12:00 midnight EST) on Friday, November 18, 2011, will not be accepted.

No refunds will be made except for the following: One-half (50%) of the Supplemental Nomination fee paid shall be refunded to the nominator in the event that the horse has earned enough points to enter a Claiming Crown 2011 race but does not enter. In the event that the horse has not earned enough points to enter a Claiming

Crown 2011 race, the Supplemental Nomination fee shall be fully refunded.

• Pre-Entry •

In order to be eligible for entry in Claiming Crown 2011 races, a horse must be Pre-Entered in a designated race or races on Monday, November 21, 2011. Pre-Entry will close at 5:00 pm (EST), Monday, November 21, 2011. For horses with a valid Regular or Open Nomination, a Pre-Entry fee in accord with the following schedule is required. There is no Pre-Entry fee for horses made eligible for Claiming Crown 2011 through Supplemental Nomination. Pre-Entry fees are payable no later than 5:00 pm (EST), Monday, November 21, 2011. Pre-Entries not accompanied by the required fee will not be accepted.

Pre-Entry Fee Schedule:

1.	The Iron Horse	\$250
2.	The Express	\$250
3.	The Glass Slipper	\$375
4.	The Rapid Transit	\$375
5.	The Emerald	\$500
6.	The Jewel	\$750

A horse may be pre-entered in a maximum of two designated races at the time of Pre-Entry. Pre-Entry fees must be paid for each designated race. If two races are designated, one of the two must be identified as the preferred race. If the horse is selected for the preferred race, its Pre-Entry in the second race is nullified and the Pre-Entry fee for the second race shall be refunded. If the horse is not selected for the preferred race and is selected for the second race, its Pre-Entry for the preferred race is nullified and the Pre-Entry fees for the preferred race shall be refunded.

Pre-Entry fees are non-refundable, except in the event the horse is not selected by Claiming Crown, Ltd. to enter a Claiming Crown 2011 race.

• Field Selection •

Maximum field size in each of the six Claiming Crown 2011 races will be fourteen (14) starters. The fourteen (14) starters for that race will be selected by Point Total in accord with the Point System below. Points are awarded to a horse for every placing from January 1, 2010, through November 20, 2011, inclusive, as follows:

Finishing Position			Race Category
<u>First</u>	<u>Second</u>	<u>Third</u>	
12	8	6	In Graded Stakes
8	4	2	In allowance or ungraded stakes, or in claiming or starter races with a price equal to or greater than the Claiming Crown category for which the horse is pre-entered:
6	3	1	In claiming or starter races with a price below that of the Claiming Crown category for which the horse is pre-entered.

In the event of a dead-heat, the horse shall be awarded the full point value for that placing.

Tie-breakers: In the event that two or more horses have the same point-totals for a Pre-Entered race, selection priority will be:

- (1) high-weights preferred;
- (2) total points since January 1, 2010, in allowance or stakes races and claiming/starter races with a price equal to or greater than the category of the designated Claiming Crown race;

- (3) highest percentage of wins since January 1, 2010, in allowance or stakes races and claiming/starter races with a price equal to or greater than the category of the designated Claiming Crown race;
- (4) if two or more horses remain tied after all tie-breaking criteria have been evaluated, a Claiming Crown, Ltd., Selection Committee will designate the starter.

• Pre-Entry Rankings •

Claiming Crown, Ltd. will issue lists of pre-entered horses for each Claiming Crown race on Wednesday, November 23, together with the rankings for all horses Pre-Entered in oversubscribed races.

• Entries •

Entries for Claiming Crown 2011 races will be taken at Fair Grounds and will close at 10:00 am local time (CST), Wednesday, November 30, 2011. At time of entry, a maximum of 14 horses will be accepted for each race based on rankings established at Pre-Entry. No Claiming Crown race will be split. There will be no also-eligible list nor scratch time and no horse can move into the field of a Claiming Crown race after entries are taken.

• Entry & Starting Fees •

For horses with a valid Regular or Open Nomination, Entry and Starting Fees are payable in accord with the following schedule. There are no Entry or Starting Fees for horses made eligible through Supplemental Nomination.

<u>Race</u>	<u>Entry</u>	<u>Starting</u>
1. The Iron Horse	\$250	\$ 500
2. The Express	\$250	\$ 500
3. The Glass Slipper	\$375	\$ 750
4. The Rapid Transit	\$375	\$ 750
5. The Emerald	\$500	\$1,000
6. The Jewel	\$750	\$1,500

• Purse Distribution •

All Claiming Crown purses shall be distributed as follows:
Of the guaranteed purse of the race,

- 55%** to the winner;
- 20%** for second place;
- 10%** for third place;
- 5%** for fourth place;
- 1%** to all other finishers.

In the event that fourteen horses do not enter, start, or finish a Claiming Crown race, the purse distributable to that finishing position shall revert to Claiming Crown, Ltd.

Claiming Crown, Ltd., is a joint venture of the Thoroughbred Owners and Breeders Association and National HBPA, Inc.
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